

**GENERAL INFORMATION SHEET (GIS)**

FOR THE YEAR 2017

**STOCK CORPORATION**



**GENERAL INSTRUCTIONS:**

1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. **DO NOT LEAVE ANY ITEM BLANK.** WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE ACTUAL DATE OF THE ANNUAL STOCKHOLDERS' MEETING.
2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE CORPORATE SECRETARY OF THE CORPORATION.
4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT ONLY THE AFFECTED PAGE OF THE GIS THAT RELATES TO THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED BY THE CORPORATE SECRETARY OF THE CORPORATION. THE PAGE OF THE GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURRED OR BECAME EFFECTIVE.
5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE CENTRAL RECEIVING SECTION, GROUND FLOOR, SEC BLDG., EDSA, MANDALUYONG CITY. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER WITH A STANDARD COVER PAGE. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE. CORPORATIONS SUBMITTING A COPY OF THEIR GIS ONLINE OR VIA INTERNET SHALL SUBMIT ONE (1) HARD COPY OF THE GIS, TOGETHER WITH A CERTIFICATION UNDER OATH BY ITS CORPORATE SECRETARY THAT THE COPY SUBMITTED ONLINE CONTAINS THE EXACT DATA IN THE HARD COPY.
6. **ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.**
7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS

===== PLEASE PRINT LEGIBLY =====

<b>CORPORATE NAME:</b> GT CAPITAL HOLDINGS, INC.	<b>DATE REGISTERED:</b> 26-Jul-07
<b>BUSINESS/TRADE NAME:</b> GT CAPITAL HOLDINGS, INC.	<b>FISCAL YEAR END:</b> December 31
<b>SEC REGISTRATION NUMBER:</b> CS200711792	<b>CORPORATE TAX IDENTIFICATION NUMBER (TIN):</b> 006-806-867
<b>DATE OF ANNUAL MEETING PER BY-LAWS:</b> Second Wednesday of May	<b>WEBSITE/URL ADDRESS:</b> www.gtcapital.com.ph
<b>ACTUAL DATE OF ANNUAL MEETING:</b> 10-May-17	<b>E-MAIL ADDRESS:</b> gtcap@gtcapital.com
<b>COMPLETE PRINCIPAL OFFICE ADDRESS:</b> 43rd Floor GT Tower International, 6813 Ayala Avenue corner H.V. Dela Costa Street, 1227 Makati City	<b>FAX NUMBER:</b> (632) 836-4500
<b>COMPLETE BUSINESS ADDRESS:</b> 43rd Floor GT Tower International, 6813 Ayala Avenue corner H.V. Dela Costa Street, 1227 Makati City	<b>TELEPHONE NUMBER(S):</b> (632) 836-4159
<b>NAME OF EXTERNAL AUDITOR &amp; ITS SIGNING PARTNER:</b> Sycip Gorres Velayo & Co./ Vicky Lee Salas	<b>SEC ACCREDITATION NUMBER (if applicable):</b> No. 0115-AR-4 (Group A)
<b>PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN:</b> Holding Company	<b>INDUSTRY CLASSIFICATION:</b>  <b>GEOGRAPHICAL CODE:</b>

===== INTERCOMPANY AFFILIATIONS =====

PARENT COMPANY	SEC REGISTRATION NO.	ADDRESS
Grand Titan Capital Holdings, Inc.	CS200712456	43F GT Tower Intrnational 6813 Ayala Ave., cor. H.V. Dela Costa Street, 1227 Makati City
SUBSIDIARY/AFFILIATE	SEC REGISTRATION NO.	ADDRESS
See Attached Annex "A"	See Attached Annex "A"	See Attached Annex "A"

NOTE: USE ADDITIONAL SHEET IF NECESSARY



## GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

**Corporate Name:** GT CAPITAL HOLDINGS, INC.

**A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365)**       Yes       No

**Please check the appropriate box:**

<p><b>1.</b></p> <p><input type="checkbox"/> a. Banks</p> <p><input type="checkbox"/> b. Offshore Banking Units</p> <p><input type="checkbox"/> c. Quasi-Banks</p> <p><input type="checkbox"/> d. Trust Entities</p> <p><input type="checkbox"/> e. Non-Stock Savings and Loan Associations</p> <p><input type="checkbox"/> f. Pawnshops</p> <p><input type="checkbox"/> g. Foreign Exchange Dealers</p> <p><input type="checkbox"/> h. Money Changers</p> <p><input type="checkbox"/> i. Remittance Agents</p> <p><input type="checkbox"/> j. Electronic Money Issuers</p> <p><input type="checkbox"/> k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.</p>	<p><b>4.</b> <input type="checkbox"/> Jewelry dealers in precious metals, who, as a business, trade in precious metals</p>
<p><b>2.</b></p> <p><input type="checkbox"/> a. Insurance Companies</p> <p><input type="checkbox"/> b. Insurance Agents</p> <p><input type="checkbox"/> c. Insurance Brokers</p> <p><input type="checkbox"/> d. Professional Reinsurers</p> <p><input type="checkbox"/> e. Reinsurance Brokers</p> <p><input type="checkbox"/> f. Holding Companies</p> <p><input type="checkbox"/> g. Holding Company Systems</p> <p><input type="checkbox"/> h. Pre-need Companies</p> <p><input type="checkbox"/> i. Mutual Benefit Association</p> <p><input type="checkbox"/> j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)</p>	<p><b>5.</b> <input type="checkbox"/> Jewelry dealers in precious stones, who, as a business, trade in precious stone</p>
<p><b>3.</b></p> <p><input type="checkbox"/> a. Securities Dealers</p> <p><input type="checkbox"/> b. Securities Brokers</p> <p><input type="checkbox"/> c. Securities Salesman</p> <p><input type="checkbox"/> d. Investment Houses</p> <p><input type="checkbox"/> e. Investment Agents and Consultants</p> <p><input type="checkbox"/> f. Trading Advisors</p> <p><input type="checkbox"/> g. Other entities managing Securities or rendering similar services</p> <p><input type="checkbox"/> h. Mutual Funds or Open-end Investment Companies</p> <p><input type="checkbox"/> i. Close-end Investment Companies</p> <p><input type="checkbox"/> j. Common Trust Funds or Issuers and other similar entities</p> <p><input type="checkbox"/> k. Transfer Companies and other similar entities</p> <p><input type="checkbox"/> l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on</p> <p><input type="checkbox"/> m. Entities administering or otherwise dealing in valuable objects</p> <p><input type="checkbox"/> n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)</p>	<p><b>6.</b> Company service providers which, as a business, provide any of the following services to third parties:</p> <p><input type="checkbox"/> a. acting as a formation agent of juridical persons</p> <p><input type="checkbox"/> b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons</p> <p><input type="checkbox"/> c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement</p> <p><input type="checkbox"/> d. acting as (or arranging for another person to act as) a nominee shareholder for another person</p>
<p><b>7.</b> Persons who provide any of the following services:</p> <p><input type="checkbox"/> a. managing of client money, securities or other assets</p> <p><input type="checkbox"/> b. management of bank, savings or securities accounts</p> <p><input type="checkbox"/> c. organization of contributions for the creation, operation or management of companies</p> <p><input type="checkbox"/> d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities</p>	<p><b>8.</b> <input checked="" type="checkbox"/> None of the above</p>
<p><b>B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its GIS?</b></p>	<p><input type="radio"/> Yes      <input checked="" type="radio"/> No</p>

Describe nature of business:	Holding Company
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**GENERAL INFORMATION SHEET**  
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

**CORPORATE NAME:** GT CAPITAL HOLDINGS, INC.

**CAPITAL STRUCTURE**

**AUTHORIZED CAPITAL STOCK**

	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP) (No. of shares X Par/Stated Value)
	Common	298,257,000	10.00	2,982,570,000.00
	Perpetual Preferred	20,000,000	100.00	2,000,000,000.00
	Voting Preferred	174,300,000	0.10	17,430,000.00
<b>TOTAL</b>		<b>492,557,000</b>	<b>TOTAL P</b>	<b>5,000,000,000.00</b>

**SUBSCRIBED CAPITAL**

FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
	73	Common	116,165,038	26,212,765	10.00	1,161,650,380.00	66.65%
	165	Voting Preferred	172,973,839	1,584,440	0.10	17,297,383.90	99.24%
	10	Preferred Series A	4,826,150	4,823,250	100.00	482,615,000.00	99.73%
	10	Preferred Series B	7,102,800	7,101,300	100.00	710,280,000.00	99.20%
<b>TOTAL</b>			<b>301,067,827</b>	<b>TOTAL</b>	<b>TOTAL P</b>	<b>2,371,842,763.90</b>	<b>83.491%</b>

FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
American	2	Common	2,800	2,800	10.00	28,000.00	
PCD Nominee	1	Common	58,132,162	58,132,162	10.00	581,321,620.00	33.35%
(Non-Filipino)	16	Voting Preferred	1,326,161	1,326,125	0.10	132,616.10	0.76%
	1	Preferred Series A	13,090	13,090	100.00	1,309,000.00	0.27%
	1	Preferred Series B	57,960	57,960	100.00	5,796,000.00	0.81%
<b>Percentage of Foreign Equity :</b>			<b>TOTAL</b>	<b>59,532,173</b>	<b>TOTAL P</b>	<b>588,587,236.10</b>	<b>16.509%</b>
<b>TOTAL SUBSCRIBED P</b>						<b>2,960,430,000.00</b>	<b>100%</b>

**PAID-UP CAPITAL**

FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
	73	Common	116,165,038	10.00	1,161,650,380.00	66.65%
	165	Voting Preferred	172,973,839	0.10	17,297,383.90	99.24%
	10	Preferred Series A	4,826,150	100.00	482,615,000.00	99.73%
	10	Preferred Series B	7,102,800	100.00	710,280,000.00	99.20%
<b>TOTAL</b>			<b>301,067,827</b>	<b>TOTAL P</b>	<b>2,371,842,763.90</b>	<b>83.491%</b>

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American	2	Common	2,800	10.00	28,000.00	
PCD Nominee	1	Common	58,132,162	10.00	581,321,620.00	33.35%
(Non-Filipino)	16	Voting Preferred	1,326,161	0.10	132,616.10	0.76%
	1	Preferred Series A	13,090	100.00	1,309,000.00	0.27%
	1	Preferred Series B	57,960	100.00	5,796,000.00	0.81%
<b>16.51%</b>	<b>TOTAL</b>		<b>59,532,173</b>	<b>TOTAL P</b>	<b>588,587,236.10</b>	<b>16.509%</b>
<b>TOTAL PAID-UP P</b>					<b>2,960,430,000.00</b>	<b>100%</b>

NOTE: USE ADDITIONAL SHEET IF NECESSARY

\* Common, Preferred or other classification

\*\* Other than Directors, Officers, Shareholders owning 10% of outstanding shares.

## GENERAL INFORMATION SHEET

STOCK CORPORATION

PLEASE PRINT LEGIBLY

**CORPORATE NAME:** GT CAPITAL HOLDINGS, INC.

### DIRECTORS / OFFICERS

NAME AND NATIONALITY	INC'R	BOARD	SEX	STOCK HOLDER	OFFICER	EXEC. COMM.
1. George S.K. Ty Filipino	Y	M	M	Y	Group Chairman	N/A
2. Arthur Vy Ty Filipino	Y	C	M	Y	Chairman	N/A
3. Alfred Vy Ty Filipino	Y	M	M	Y	Co-Vice Chairman	C/M
4. Francisco C. Sebastian Filipino	N	M	M	Y	Co-Vice Chairman	N/A
5. Carmelo Maria Luza Bautista Filipino	N	M	M	Y	President	N/A
6. Roderico V. Puno Filipino	N	M	M	Y	N/A	N/A
7. David T. Go Filipino	N	M	M	Y	N/A	N/A
8. Jaime Miguel G. Belmonte Filipino	N	I	M	Y	N/A	C/C - A/M
9. Wilfredo A. Paras Filipino	N	I	M	Y	N/A	A/C - N/M
10. Peter B. Favila Filipino	N	I	M	Y	N/A	A/M - N/M
11. Renato C. Valencia Filipino	N	I	M	Y	Lead Independent Director	N/C - C/M - A/M
12. Anjanette Ty Dy Buncio Filipino	N	N/A	F	Y	Treasurer	N/A
13. Alesandra T.Ty Filipino	N	N/A	F	Y	Assistant Treasurer	N/A
14. Antonio V. Viray Filipino	N	N/A	M	N	Corporate Secretary	N/A
15. Jocelyn Y.Kho Filipino	N	N/A	F	N	Assistant Corporate Secretary	N/A

**INSTRUCTION:**

FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.

FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.

FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.

FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.

FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.

FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.



# GENERAL INFORMATION SHEET

STOCK CORPORATION

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**CORPORATE NAME:** GT CAPITAL HOLDINGS, INC.

## DIRECTORS / OFFICERS

NAME AND NATIONALITY	INC'R	BOARD	SEX	STOCK HOLDER	OFFICER	EXEC. COMM.
16. Francisco H. Suarez, Jr. Filipino	N	N/A	M	Y	EVP/Chief Financial Officer	N/A
17. Jose B. Crisol, Jr. Filipino	N	N/A	M	Y	FVP/Head Investor Relations	N/A
18. Winston Andrew L. Peckson Filipino	N	N/A	M	Y	FVP/Chief Risk Officer	N/A
19. Susan E. Cornelio Filipino	N	N/A	F	N	VP/Head Human Resources	N/A
20. Richel D. Mendoza Filipino	N	N/A	F	N	VP/Chief Audit Executive	N/A
21. Reyna Rose P. Manon-og Filipino	N	N/A	F	N	VP/Head Acctg. & Fin. Control	N/A
22. Elsie D. Paras Filipino	N	N/A	F	Y	VP/ Deputy CFO	N/A
23. Renee Lynn Miciano-Atienza Filipino	N	N/A	F	Y	AVP/Head Legal & Compliance	N/A

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**GENERAL INFORMATION SHEET**  
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

<b>CORPORATE NAME:</b> GT CAPITAL HOLDINGS, INC.	
<b>TOTAL NUMBER OF STOCKHOLDERS:</b> 76	<b>NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:</b> 67
<b>TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS:</b> 129,690,851,278.00 (2016 Audited Financial Statement - Parent)	

**STOCKHOLDER'S INFORMATION**

NAME AND NATIONALITY	SHARES SUBSCRIBED				AMOUNT PAID (PhP)
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER-SHIP	
1. Grand Titan Capital Holdings, Inc. Filipino	Common	89,427,110	894,271,100.00	51.31%	<b>911,320,164.00</b>
	Preferred	170,490,640	17,049,064.00	97.81%	
	<b>TOTAL</b>	<b>259,917,750</b>	<b>911,320,164.00</b>		
2. PCD Nominee Non-Filipino	Common	58,132,162	581,321,620.00	33.35%	<b>588,426,620.00</b>
	Series A	13,090	1,309,000.00	0.27%	
	Series B	57,960	5,796,000.00	0.81%	
	<b>TOTAL</b>	<b>58,203,212</b>	<b>588,426,620.00</b>		
3. PCD Nominee Filipino	Common	26,131,156	261,311,560.00	15.00%	<b>1,450,464,560.00</b>
	Series A	4,815,780	481,578,000.00	99.52%	
	Series B	7,075,750	707,575,000.00	98.81%	
	<b>TOTAL</b>	<b>38,022,686</b>	<b>1,450,464,560.00</b>		
4. George S. K. Ty Filipino	Common	200,000	2,000,000.00	0.115%	<b>2,036,022.40</b>
	Preferred	360,224	36,022.40	0.207%	
	<b>TOTAL</b>	<b>560,224</b>	<b>2,036,022.40</b>		
5. Arthur Vy Ty Filipino	Common	100,000	1,000,000.00	0.057%	<b>1,018,011.20</b>
	Preferred	180,112	18,011.20	0.103%	
	<b>TOTAL</b>	<b>280,112</b>	<b>1,018,011.20</b>		
6. Alfred Vy Ty Filipino	Common	100,000	100,000.00	0.057%	<b>1,018,011.20</b>
	Preferred	180,112	18,011.20	0.103%	
	<b>TOTAL</b>	<b>280,112</b>	<b>1,018,011.20</b>		
7. Mary Vy Ty Filipino	Common	99,000	990,000.00	0.057%	<b>1,007,831.10</b>
	Preferred	178,311	17,831.10	0.102%	
	<b>TOTAL</b>	<b>277,311</b>	<b>1,007,831.10</b>		
<b>TOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>					
<b>TOTAL AMOUNT OF PAID-UP CAPITAL</b>					

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

*Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.*

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<b>TOTAL ASSETS BASED ON LATEST AUDITED FS:</b>	129,690,851,278.00 (2016 Audited Financial Statement - Parent)		

**STOCKHOLDER'S INFORMATION**

NAME AND NATIONALITY	SHARES SUBSCRIBED				AMOUNT PAID (PhP)
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNERSHIP	
8. Bloomingdale Enterprises, Inc. Filipino	Common	30,650	306,500.00	0.018%	306,500.00
	<b>TOTAL</b>	<b>30,650</b>	<b>306,500.00</b>		
9. Salud D. De Castro Filipino	Common	20,000	200,000.00	0.011%	203,000.00
	Preferred	30,000	3,000.00	0.017%	
	<b>TOTAL</b>	<b>50,000</b>	<b>203,000.00</b>		
10. Asuncion C. Chan Filipino	Common	6,000	60,000.00	0.003%	60,600.00
	Preferred	6,000	600.00	0.003%	
	<b>TOTAL</b>	<b>12,000</b>	<b>60,600.00</b>		
11. Anita C. Choi Filipino	Common	4,000	40,000.00	0.002%	40,400.00
	Preferred	4,000	400.00	0.002%	
	<b>TOTAL</b>	<b>8,000</b>	<b>40,400.00</b>		
12. Walter Bruce S. Go		3,000	30,000.00	0.002%	30,000.00
	<b>TOTAL</b>	<b>3,000</b>	<b>30,000.00</b>		
13. Peter Mar or Annabelle C. Mar Filipino		3,000	30,000.00	0.002%	30,000.00
	<b>TOTAL</b>	<b>3,000</b>	<b>30,000.00</b>		
14. Dennis G. Baguyo Filipino		2,250	22,500.00	0.001%	22,500.00
	<b>TOTAL</b>	<b>2,250</b>	<b>22,500.00</b>		
<b>TOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>					
<b>TOTAL AMOUNT OF PAID-UP CAPITAL</b>					

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STOCKHOLDER'S INFORMATION					
NAME AND NATIONALITY	SHARES SUBSCRIBED				AMOUNT PAID (PhP)
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER- SHIP	
15. Dennis C. Choi Filipino	Common	2,000	20,000.00	0.001%	20,200.00
	Preferred	2,000	200.00	0.001%	
	<b>TOTAL</b>	<b>4,000</b>	<b>20,200.00</b>		
16. Diana C. Choi Filipino	Common	2,000	20,000.00	0.001%	20,200.00
	Preferred	2,000	200.00	0.001%	
	<b>TOTAL</b>	<b>4,000</b>	<b>20,200.00</b>		
17. Davis C. Choi Filipino	Common	2,000	20,000.00	0.001%	20,200.00
	Preferred	2,000	200.00	0.001%	
	<b>TOTAL</b>	<b>4,000</b>	<b>20,200.00</b>		
18. Croslo Holdings, Corp. Filipino	Common	2,000	20,000.00	0.001%	20,000.00
	<b>TOTAL</b>	<b>2,000</b>	<b>20,000.00</b>		
19. Bernadette S. Go Filipino	Common	2,000	20,000.00	0.001%	20,000.00
	<b>TOTAL</b>	<b>2,000</b>	<b>20,000.00</b>		
20. Washington Z. Sycip Filipino	Common	1,800	18,000.00	0.001%	18,180.00
	Preferred	1,800	180.00	0.001%	
	<b>TOTAL</b>	<b>3,600</b>	<b>18,180.00</b>		
21. OTHERS (56 Stockholders) OTHERS (169 Stockholders) OTHERS ( 9 Stockholders) OTHERS ( 9 Stockholders)	<b>Common</b>	<b>29,872</b>	<b>298,720.00</b>	0.02%	4,327,000.10
	<b>Preferred</b>	<b>2,862,801</b>	<b>286,280.10</b>	1.64%	
	<b>Series A</b>	<b>10,370</b>	<b>1,037,000.00</b>	0.21%	
	<b>Series B</b>	<b>27,050</b>	<b>2,705,000.00</b>	0.38%	
	<b>TOTAL</b>	<b>2,930,093</b>	<b>4,327,000.10</b>		
<b>TOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>			<b>2,960,430,000.00</b>	<b>100%</b>	
<b>TOTAL AMOUNT OF PAID-UP CAPITAL</b>					<b>2,960,430,000.00</b>
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS					
Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.					



**GENERAL INFORMATION SHEET**  
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====			
<b>CORPORATE NAME:</b> GT CAPITAL HOLDINGS, INC.			
<b>1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION</b>	<b>AMOUNT (Php)</b>	<b>DATE OF BOARD RESOLUTION</b>	
<b>1.1 STOCKS</b>	Dec. 31, 2016 (Parent Company) 124,508,626,527	Various	
<b>1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations)</b>	N/A	N/A	
<b>1.3 LOANS/ CREDITS/ ADVANCES</b>	N/A	N/A	
<b>1.4 GOVERNMENT TREASURY BILLS</b>	N/A	N/A	
<b>1.5 OTHERS</b>	N/A	N/A	
<b>2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:)</b>	<b>DATE OF BOARD RESOLUTION</b>	<b>DATE OF STOCKHOLDERS RATIFICATION</b>	
None	None	None	
<b>3. TREASURY SHARES</b>	<b>NO. OF SHARES</b>	<b>% AS TO THE TOTAL NO. OF SHARES ISSUED</b>	
None	None	None	
<b>4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR</b> Php 3,066,561,465 (Parent)			
<b>5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR:</b> 2016			
<b>TYPE OF DIVIDEND</b>	<b>AMOUNT (Php)</b>	<b>DATE DECLARED</b>	
<b>5.1 CASH</b>	1,635,345,045.00	March 10, 2016 & Dec. 15, 2016	
<b>5.2 STOCK</b>			
<b>5.3 PROPERTY</b>			
<b>TOTAL</b>	<b>P</b>		
<b>6. ADDITIONAL SHARES ISSUED DURING THE PERIOD:</b> 2016			
<b>DATE</b>	<b>NO. OF SHARES</b>	<b>AMOUNT</b>	
27-Oct-16	12,000,000	12,000,000,000.00 (Issue Price)	
N/A	N/A	N/A	
N/A	N/A	N/A	
N/A	N/A	N/A	
<b>SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY:</b>			
<b>NAME OF AGENCY:</b>	<b>SEC</b>	<b>B S P</b>	<b>I C</b>
<b>TYPE OF LICENSE/REGN.</b>	Certificate of Permit to Offer Securities for Sale (Order # 092)	N/A	N/A
<b>DATE ISSUED:</b>	4-Apr-12	N/A	N/A
<b>DATE STARTED OPERATIONS:</b>	26-Jul-07	N/A	N/A
<b>TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in Php)</b>	<b>TOTAL NO. OF OFFICERS</b>	<b>TOTAL NO. OF RANK &amp; FILE EMPLOYEES</b>	<b>TOTAL MANPOWER COMPLEMENT</b>
28.2 Million	29	1	30

I, ANTONIO V. VIRAY CORPORATE SECRETARY OF THE ABOVE-MENTIONED  
(Name) (Position)

DECLARE UNDER THE PENALTY OF PERJURY, THAT ALL MATTERS SET FORTH IN THIS GENERAL INFORMATION SHEET WHICH CONSISTS OF THIRTEEN (13) PAGES HAVE BEEN MADE IN GOOD FAITH, DULY VERIFIED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF, ARE TRUE AND CORRECT.

I HEREBY CERTIFY THAT THE PERSONS INDICATED AS MEMBERS OF THE BOARD OF DIRECTORS AND OFFICERS OF THE CORPORATION HAD CONSENTED IN WRITING TO BE NOMINATED AND ELECTED.

I UNDERSTAND THAT THE FAILURE OF THE CORPORATION TO FILE THIS GIS FOR FIVE (5) CONSECUTIVE YEARS SHALL BE CONSTRUED AS NON-OPERATION OF THE CORPORATION AND A GROUND FOR THE REVOCATION OF THE CORPORATION'S CERTIFICATE OF INCORPORATION. IN THIS EVENTUALITY, THE CORPORATION HEREBY WAIVES ITS RIGHT TO A HEARING FOR THE SAID REVOCATION.

DONE THIS JUN 09 2017 DAY OF June, 2017 IN MAKATI CITY.

A. V. Viray  
(SIGNATURE)

JUN 09 2017

SUBSCRIBED AND SWORN TO BEFORE ME IN MAKATI CITY, PHILIPPINES ON JUNE 09, 2017, AFFIANT PERSONALLY APPEARED BEFORE ME AND EXHIBITED TO ME HIS COMPETENT EVIDENCE OF IDENTITY PASSPORT NO. P3215592A ISSUED AT DFA CENTRAL NORTH ON MAY 30, 2017.

NOTARY PUBLIC FOR

Notarial Commission No. \_\_\_\_\_  
Commission expires on December 31, \_\_\_\_\_  
Roll of Attorney Number \_\_\_\_\_  
PTR No. \_\_\_\_\_  
IBP No. \_\_\_\_\_  
Office Address: \_\_\_\_\_

DOC. NO.: 300  
PAGE NO.: 62  
BOOK NO.: 9  
SERIES OF.: 200

Melissa B. Reyes  
ATTY. MELISSA B. REYES

NOTARY PUBLIC FOR MAKATI CITY UNTIL DEC. 31, 2018  
ROLL NO. 41369 / APPOINTMENT NO. M-173  
IBP NO. 0983825 / PTR. NO. 5909887  
45/F GT TOWER INTERNATIONAL, AYALA AVENUE  
CORNER H.V. DE LA COSTA, MAKATI CITY